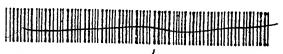


Europäisches Patentamt

European Patent Office

Office européen des brevets



11 Publication number: 8 440 398 A1

(12)

## **EUROPEAN PATENT APPLICATION**

(21) Application number: 91300627.6

(51) Int. CI.5: A61K 7/48

② Date of filing: 28.01.91

30 Priority: 29.01.90 US 471760

- Date of publication of application: 07.08.91 Bulletin 91/32
- Designated Contracting States:
   DE ES FR GB IT
- 7) Applicant: UOHNSON & JOHNSON CONSUMER PRODUCTS; INC.: 501 George Street
  New Brunswick New Jersey 08903 (US)
- (2) Inventor: Clum, Charles E.
  60 Brophy Drive
  Ewing, New Jersey 08638 (US)
  Inventor: Wang, Jonas, C.T.
  23 Elisworth Drive
  Robbinsville, New Jersey 08691 (US)
- Representative: Mercer, Christopher Paul et al Carpmaels & Ransford 43, Bloomsbury Square London WC1A 2RA (GB)

- 54 Skin care compositions.
- Skin care compositions comprising a water-in-oil emulsion base containing retinoids and possessing good physical and chemical stability.

BEST AVAILABLE COPY



#### SKIN CARE COMPOSITIONS

#### FIELD OF THE INVENTION

10

15

20

25

ð

30

35

40

45

50

This invention relates to skin care compositions containing retinoids which generally improve the quality of the skin, particularly human facial skin.

#### BACKGROUND OF THE INVENTION

Skin care compositions containing retinoids have become a focus of great interest in recent years. A number of products have appeared in the market place with therapeutic claims against photoaging and sun-damaged skin.

Caucasians who have had a good deal of sun exposure in childhood will show the following gross cutaneous alterations in later adult life: wrinkling, leatheriness, yellowing, looseness, roughness, dryness, mottling (hyperplamentation) and various premalignant growths (often subclinical). These changes are most prominent in light-skinned persons who burn easily and tan poorly. These cumulative effects of sunlight are often referred to as "photoaging". Although the anatomical degradation of the skin is most advanced in the elderly, the destructive effects of excessive sun exposure are already evident by the second decade. Serious microscopic alterations of the epidemis and dermis occur decades before these become clinically visible. Wrinkling, yellowing, leatheriness and loss of elasticity are very late changes.

United States patent No. 4,603,146 entitled "Composition and Method for Improving the Quality of Human axin and Skin Aging Retardant" discloses methods for treating sun-damaged human skin topically with Vitamin A acid, which is a retinoid, in an emollient vehicle in such amounts as to be essentially non-irritating to the skin. This treatment causes the skin, particularly human facial skin, to substantially regain and maintain its firmness, turgor and clasticity by retarding and reversing the skin's loss of collagen fibers, abnormal changes in clastic fibers, deterioration of small blood vessels, epidermal atrophy and formation of abnormal epithelial growths.

United States patent No. 4,877,805 entitled "Methods for Treatment of Sun-damaged Human Skin with Retinoids" covers a method for retarding and reversing the loss of collagen fibers, abnormal changes in elastic fibers, deterioration of small blood vessels and formation of abnormal epithelial growths in sun-damaged human skin comprising applying topically a composition comprising a retinoid in an emollient vehicle in a program of maintenance therapy. A number of retinoids are disclosed as useful in this invention.

A number of skin care products have appeared in the mark-tplace with claims related to the repair of wrinkled and/or photoaged or sun-damaged skin and are alleged to contain retinoids such as retinoi, retinyl palmitate and retinyl acetate. All of these products appear to be of-in-water emulsion systems, i.e. systems characterized by an oil phase dispersed in a water phase. Problems occur in utilizing retinoids in such a system since they are unstable therein and chemically degrade and are, therefore, unavailable over time for their alleged utility.

#### SUMMARY OF THE INVENTION

It is an object of the present invention to provide improved skin care compositions.

It is another object of the present invention to provide improved skin care compositions for the treatment of sun-damaged skin.

It is a further object of this invention to provide improved skin care compositions that contain retinoids and possess good physical and chemical stability.

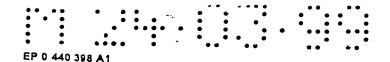
Other objects of this invention will be set forth in or be apparent from the following detailed description of the invention.

The foregoing objects and other features and advantages of the present invention are achieved by skin care compositions comprising at least one active ingredient in an emulsion typiem. More specifically, the present invention relates to skin care compositions comprising a retinoid as the active ingredient in a specific emulsion system.

#### DETAILED DESCRIPTION OF THE INVENTION

In general, this invention relates to a skin care composition comprising a stable water-in-oil emulsion base including an antioxidant system, a chalating agent and at least one retinoid compound.

An emulsion is usually thought of as an infimate mixture of two immiscible liquids which exhibits an accept-



able shelf life at or about room temperature. When two immiscible liquids are mechanically agitated, both phases initially tend to form droplets. When the agitation ceases, the droplets quickly coalesce, and the two liquids separate. The lifetime of the droplets is materially increased if a compound referred to as an emulsifier is added to the immiscible liquids. Usually only one phase persists in droplet form for a prolonged period of time, and this is referred to as the internal phase which is surrounded by an external phase.

Most commercial skin care compositions containing retinoids are oil-in-water emulsion systems, and in such systems the retinoid compounds, in particular, retinoi and the retinyl esters tend to be unstable, i.e. they degrade and are, therefore, not available to perform in their desired manner. It is believed that this degradation occurs as a result of the solubility and rapid diffusion of oxygen through the external water phase to the internal oil phase containing the retinoid, and degradation of the retinoid then occurs. Since the solubility and diffusion of oxygen is greater in a water phase than an oil phase, an oil-in-water system is more prone to such degradation.

On the other hand, water-in-oil emulsions are difficult to process because the continuous or external phase is oil and stability problems resulting in separate phases occur more frequently in such systems than in oil-in-water emulsion systems. Also in the past, water-in-oil emulsions have been perceived to be less cosmetically appealing and greasier due to the continuous phase being an oil phase.

The present invention has overcome these difficulties and provides a water-in-oil emulsion composition containing at least one retinoid compound wherein the stability of both the emulsion and the active ingredients is excellent.

The skin care compositions of the present Invention comprise a water-in-oil emulsion base and an enti-oxidant system, a chelating agent and at least one retinoid compound.

The antioxidant system useful in the present Invention comprises at least one water-soluble antioxidant and at least one oil-soluble antioxidant. The water-soluble antioxidant protects the retinoid compounds from endogeneous oxidation and the oil-soluble antioxidant protects the retinoid compounds from exogeneous oxidation. Both antioxidants are necessary in the compositions of the present invention.

25

35

40

The water-soluble antioxidents which are useful in the compositions of the present invention include ascorbic acid, sodium suifite, sodium metablaulitie, sodium bisulfite, sodium thiosulfite, sodium formaldehyde suifoxylate, isoascorbic acid, thioglycerol, thiosorbitol, thiourea, thioglycolic acid, cysteine hydrochloride, 1,4-diazobicyclo-(2,2,2)-octane and mixtures thereof as well as any other known water-soluble antioxidant compatible with the other components of the compositions.

The oil-soluble antioxidants which are useful in the compositions of the present invention include butylated hydroxytoluene (BHT), ascorbyl paimitate, butylated hydroxyanisole,  $\alpha$ -tocopherol, phenyl- $\alpha$ -naphthylamine, and mixtures thereol as well as any other known oil-soluble antioxidant compatible with the other components of the compositions.

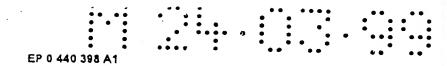
Certain antioxidants function as both water-soluble and oil-soluble antioxidants and formulations containing such antioxidants are within the scope of this invention. Hydroquinone, propyl gallate, nordihydroquiaretic acid and mixtures thereof are examples of such antioxidants.

The antioxidants should be utilized in an effective amount and may range in total from about 0.0001 to 5.0% based on the total composition, preferably from about 0.01 to 1.0%. The amount of antioxidants utilized in the compositions of the present invention is dependent in part on the specific antioxidants solected, the amount of and specific retinoid being protected and the processing conditions.

Retinoid compounds are known to be very sensitive to metal ions and in particular to bi- and tri-valent cations and degrade rapidly in their presence. For that reason, it is necessary to add a chelating agent to the compositions of the present invention. The chelating agent forms a complex with the metal ions thereby inactivating them and preventing them from affecting the retinoid compounds. Any suitable chelating agent which is compatible with the components of the compositions of the present invention may be utilized such as ethylenediamine totracetic acid (EDTA) and derivatives and salts thereof, dihydroxyethyl glycine, citric acid, tartaric acid, and mixtures thereof. The chelating agents should be utilized in an effective amount and may range from about 0.01 to 2.0% based on the total compositions, preferably from about 0.05 to 1.0%.

The retinoid compounds which are useful in the compositions of the present invention consist of Vitamin A sicohol (retinoi) and its derivatives such as Vitamin A nidehyde (retinal). Vitamin A acid (retinal) and Vitamin A esters (retinyl acetate and retinyl palmitate). Included in the term "retinoic acid" are 13 cls retinoic acid.

The retinoids that are utilized in the compositions of the present invention are present in a therapeutically effective amount that may range from about 0.0001 to 5.0% by weight of the total compositions, preferably from about 0.001 to 1.0%. When 13-cis retinoic acid or all-trans retinoic acid is the retinoid utilized, and it is utilized in amounts greater than about 0.01% by weight of the total composition, although degradation occurs it is not algorificant and the compositions are still efficacious. However, when these compounds are utilized in emounts



less than about 0.01% by weight of the total composition, then degradation is a more serious problem.

The skin care compositions of the present invention comprising a water-in-oil emulsion can be in the format of cream or totion formulations, as desired, by varying the oil and water phases of the emulsion.

Minerals oils, animal oils, vegetable oils and silicones have all been used in cosmetic creams and lotions of the emulsion type. In addition to such oils, other emollients and surface active agents have been incorporated in the emulsions, including stearates, such as potassium stearate, glycol stearate, sodium stearate, polyethylene glycol (40) stearate and glyceryl stearate; laurates, such as addium laurate and potassium laurate; alcohols, such as cetyl alcohol and lanolin alcohol; triethanolamine; myristates, such as isopropyl myristate, sodium myristate and potassium myristate; cetyl palmitate; cholesterol; stearic acid; sorbitan sesquioleate; propylene glycol; glycerine, sorbitol and the like. Thickeners such as natural gums and synthetic polymers, as well as preservatives such as methylparaben, and propylparaben, coloring agents and fragrances also are commonly included in such compositions. Other active ingredients such as sunscreen materials and antimicrobial materials may be utilized in the compositions of the present invention provided that they are physically and chemically compatible with the other components of the compositions.

The essence of the present invention is not within the specific composition per so of the cream or lotton formulation, and any of the many formulations or compositions of the cream or lotton type currently utilized in skin care preparations can be employed provided that it is in a water-in-oil emulsion base with the appropriate antioxidant system and chelating agent and is chemically compatible with the retinoid compounds. The ratio of the oil phase of the emulsion to the water phase can be from about 5:95 to 99:1. The actual ratio of the two phases will depend on the desired final product.

The compositions of the present invention can be prepared by well-known mixing or blending procedures. Each phase of the emulsion is preferable separately prepared with all of the components contained in the appropriate phase except it is usually preferred to omit the retinoid compound. The emulsion is then formed normally by adding the water phase to the oil phase with agitation, and often the emulsion is cooled down when the retinoid compound is added.

Specific embodiments of the skin care compositions prepared in accordance with the present invention are illustrated by the following representative examples. It will be understood, however, that the invention is not confined to the specific limitations set forth in the individual examples, but rather to the scope of the appended claims.

#### **EXAMPLE I**

15

20

25

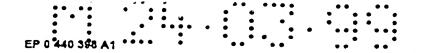
35

45

*5*0

65

A water-in-oil cream composition is prepared according to the following procedure. In a suitable sized glass beaker 250 g mineral oil, 2 g propylparaben, 60 g Elfacos C-28, 50 g Elfacos E200, 30 g Elfacos ST-9, 10 g stearoxytrimethylsilane, 10 g dimethicone (50cstk), and 0.5 g butylated hydroxytoluene (8HT) are heated to 80°C and mixed. In a separate glass container approximately 520 g deionized water, 50 g sorbitol solution 70%, 3 g methylparaben, 1 g disodium edetate and 1 g escorbic acid are mixed, adjusted to pH 4.7 with dilute sodium hydroxide Q.S., heated to 80°C, and then added to the first mixture with agitation to form a water-in-oil emulsion. The emulsion is homogenized and cooled to about 50°C, with mixing, 1 g Dowicil 200 preservative and 2.5 g fragrance followed by 1.65 g of retinol are added. Delonized water is added to return the batch weight to 1000 g and the batch is mixed until uniform and the temperature is below 40°C. The finished batch is filled into suitable containers and has the following formulation:



5	Ingredient	<b>3</b> H/H	
	mineral oil	25.000	
10	hydroxyoctacosanyl hydroxystearate (Elfacos C26)	6.000	
	sorbitol solution	5.000	
	methoxy PEG-22/dodecyl glycol	5.000	
	copolymer (Elfacos E200)		
15	PEG-45/dodecyl glycol	3.000	
	copolymer (Elfacos ST9)		
	stearoxytrimethylsilane	1.000	
20	dimethicone (50 cstk)	1.000	
	Vitamin A alcohol (retinol)	0.165	
	methylparaben	0.300	
25	fragrance	0.250	
23	propylparaben	0.200	
	Quaternium 15 (Dowicil 200)	0.100	
	disodium edetate	0.100	
30	ascorbic acid	0.100	
	butylated hydroxytoluene	0.050	
	50% aqueous NaOH	q.s. to pH 4.7	
35	deionized water	q.s. to 100.000	

The above water-in-oil emulsion composition is a smooth off-white cream having a room temperature shelf life , stability of the retinol of over two years.

#### 40 EXAMPLE II

An oil-in-water croam composition is prepared in a suitable size glass beaker by first dispersing 40 g propylene glycol in 815.6 g delonized water and thereafter dispersing 5 g of Carbomer 934, 1 g ascorbic acid, 1 g disodium edetate and 1.5 g methylparaben and heating the resultant mix to 80°C. In a separate glass beaker are charged 15 g myristyl myristate, 12.5 g oleic acid, 12.5 g glyceryl stearate, 12.5 g stearic acid, 10 g isopropyl palmitate, 10 g stearoxytrimethylsiliane, 5 g synthetic beeswax, 5 g stearyl alcohol, 5 g cetyl alcohol, 12 g polysorbate 61, 10 g dimethicone, 1 g propylparaben, 0.2 g butylated hydroxytoluene, 0.5 g butylparaben and 8 g sorbitan stearate. The mixture is melted and the temperature is adjusted to 80°C. The oil phase is added to the aqueous portion with agriction to form an oil-in-water emulsion, 50% aqueous sodium hydroxide solution is added q.s. to pH 4.7 and the emulsion is cooled to 50°C, and 3 g benzyl alcohol and 2.5 g fragrance are added. 3.75 g of retinol are then added and deionized water is added to return the batch weight to 1000 g and the batch is mixed until uniform and the temperature is below 40°C. The finished batch is filled into suitable containers and has the following formulation:



5	Ingredient	3_H/H
10	propylene glycol myristyl myristate oleic acid glyceryl stearate stearic acid Polysorbate 61 stearoxytrimethylsilane	4.000 1.500 1.250 1.250 1.250
		1.000
20	<pre>dimethicone (50 cstk) isopropyl palmitate sorbitan stearate</pre>	1.000
25	cetyl alcohol stearyl alcohol Carbomer 934	0.800 0.500 0.500
<b>30</b>	<pre>synthetic beeswax Vitamin A alcohol (retinol) methylparaben benzyl alcohol</pre>	0.500 0.500 0.375 0.300 0.300
35	fragrance propylparaben ascorbic acid	0.250 0.200 0.100
40	disodium edetate butylated hydroxytoluene butylparaben 50% aqueous NaOH	0.100 0.050 0.050 9.3. to pH 4.7
18	deionized water	q.s. to 100.000

The above oil-in-water emulsion composition is a smooth off-white cream having a room temperature shell life stability of the retinol of less than three months and would not be a desirable commercial product.

## EXAMPLE III

A water-in-oil cream composition is prepared in accordance with the procedure of Example I and consists of the following ingradiants:

e R



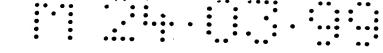
5	Ingredient	<b>3</b> _H/H
	mineral oil hydroxyoctacosanyl hydroxystearate (Elfacos C26)	25.000 6.000
10		
	sorbitol solution	5.000
15	methoxy PEG-22/dodecyl glycol copolymer (Elfacos E200)	5.000
	PEG-45/dodecyl glycol copolymer (Elfacos ST9)	3.000
20	stearoxytrimethylsilane dimethicone (50 cstk)	1.000
28	Vitamin A alcohol (retinol) methylparaben	0.345
	fragrance propylparaben	0.250
30	Quaternium 15 (Dowicil 200) disodium edetate	0.100
	ascorbic acid	0.100 0.100
35	butylated hydroxytoluene 50% aqueous NaOH	0.050 g.s. to ph 4.7
	deionized water	q.s. to 100.000

The resulting water-in-oil emulsion composition is an off-white cream having a room temperature shelf life stablilly of the retinol of over two years.

#### **EXAMPLE IV**

A water-in-oil cream composition is prepared in accordance with the procedure of Example I and consists of the following ingredients:

	Ingredient	3 W/W
	mineral oil hydroxyoctacosanyl hydroxystearate (Elfacos C26)	25.000 6.000
65	sorbitol solution	5.000



5	methoxy PEG-22/dodecyl glycol copolymer (Elfacos E200)	5.000
	PEG-45/dodecyl glycol	3.000
	copolymer (Elfacos ST9)	
10	stearoxytrimethylsilane	1.000
•	dimethicone (50 cstk)	1.000
	Vitamin A alcohol (retinol)	1.000
15	methylparaben	0.300
	fragrance	0.250
*	propylparaben, NF	0.200
	Quaternium 15 (Dowicil 200)	0.100
20	disodium edetate	0.100
	ascorbic acid	0.100
	butylated hydroxytoluene	0.050
25	50% aqueous NaOH	q.s. to pH 4.7
	deionized water	q.s. to 100.000

The resulting water-in-oil emulsion composition is an off-white cream having a room temperature shelf life stable lity of the retinol of over two years.

## EXAMPLE V

60

55

A water-in-oil cream composition is prepared in accordance with the procedure of Example I and consists of the following ingredients:

	Ingredient	3 W/H
40	mineral oil	25.000
	hydroxyoctacosanyl hydroxystearate (Elfacos C26)	5.000
<b>45</b>	sorbitol solution	5.000
	methoxy PEG-22/dodecyl glycol	5.000
	copolymer (Elfacos E200)	



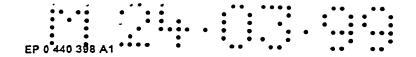
8	PEG-45/dodecyl glycol copolymer (Elfacos ST9)	3.000
	stearoxytrimethylsilane	1.000
	dimethicone (50 cstk)	1.000
10	Vitamin A alcohol (retinol)	0.100
	methylparaben	0.300
	fragrance	0.250
	propylparaben	0.200
15	Quaternium 15 (Dowicil 200)	0.100
	disodium edetate	0.100
	sodium bisulfite	0.100
20	butylated hydroxytoluene	0.050
	50% aqueous NaOH	q.s. to pH 4.7
	deionized water	
		q.s. to 100.000

The resulting water-in-oil emuision composition is an off-white cream having a room temperature shelf life stability of the retinol of over two years.

#### **EXAMPLE VI**

A water-in-oil cream composition is prepared in accordance with the procedure of Example I with the addition of 10 g trihydroxystearin as a thickener to the phase containing the mineral oil and consists of the following ingredients:

35	Ingredient	3_H/H
40	mineral oil  hydroxyoctacosanyl hydroxystearate  (Elfacos C26)	25.000
	sorbitol solution methory PEG-22/dodecyl glycol copolymer (Elfacos E200)	5.000 5.000



	PEG-45/dodecyl glycol	3.000
5	copolymer (Elfacos ST9)	
•	stearoxytrimethylsilane	1.000
	dimethicone (50 cstk)	1.000
	trihydroxystearin	1.000
10	Vitamin A alcohol (retinol)	0.100
	methylparaben	0.300
	fragrance	0.250
15	propylparaben	0.200
•	Quaternium 15 (Dowicil 200)	0.100
	disodium edetate	0.100
	ascorbic acid	0.100
20	butylated hydroxytoluene	0.050
	50% aqueous NaOH	q.s. to pH 4.7
	deionized water	q.s. to 100.000

The resulting water-in-oil emulsion composition is an off-white cream having a room temperature shelf life stability of the retinol of over two years.

#### EXAMPLE VII

A water-In-oll cream composition is prepared in accordance with the procedure of Example I with 30 g C18-36 acid triglyceride replacing Elfacos C-26 and consists of the following ingredients:

25	Ingredient	3 W/W
	mineral oil	25.000
	Cl8-36 acid triglyceride	5.000
40	sorbitol solution	5.000
	methoxy PEG-22/dodecyl glycol	5.000
	copolymer (Elfacos E200)	



EP 0 440 398 A1

5	PEG-45/dodecyl glycol copolymer (Elfacos ST9)	3.000
	stearoxytrimethylsilane	1.000
	dimethicone (50 cstk)	1.000
10	Vitamin A alcohol (retinol)	0.100
	methylparaben	0.300
	fragrance	0.250
	propylparaben	0.200
15	Quaternium 15 (Dowicil 200)	0.100
	disodium edetate	0.100
•	ascorbic acid	0.100
20	butylated hydroxytoluene	0.050
	50%, agueous NaOH	q.s. to pH 4.7
	deionized water	q.s. to 100.000

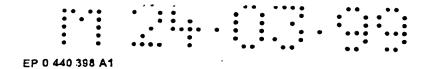
The resulting water-in-oil emulsion composition is an off-white cream having a room temperature shelf life stability of the retinol of over two years.

#### **EXAMPLE VIII**

55

A water-in-oil cream composition is prepared in accordance with the procedure of Example I with 70 g polyethylene replacing Elfacos C-26 and consists of the following ingredients:

	Ingredient	3 H/H
35		
	mineral oil	25.000
	polyethylene	7.000
40	sorbitol solution	5.000
	methoxy PEG-22/dodecyl glycol	5.000
	copolymer (Elfacos E200)	• • • • •
	PEG-45/dodecyl glycol	3.000
45	copolymer (Elfacos ST9)	



stearoxytrimethylsilane	1.000
dimethicone (50 cstk)	1.000
Vitamin A alcohol (retinol)	0.100
methylparaben	0.300
fragrance	0.250
propylparaben	0.200
Quaternium 15 (Dowicil 200)	0.100
disodium edetate	0.100
ascorbic acid	0.100
butylated hydroxytoluene	0.050
50% aqueous NaOH	q.s. to pH 4.7
deionized water	q.s. to 100.000
	dimethicone (50 cstk)  Vitamin A alcohol (retinol) methylparaben fragrance propylparaben Quaternium 15 (Dowicil 200) disodium edetate ascorbic acid butylated hydroxytoluene 50% aqueous NaOH

The resulting water-in-oil emulsion composition is an off-white cream having a room temperature shelf life stability of the retinol of over two years.

## 25 EXAMPLE IX

55

A water-in-oil cream composition is prepared in accordance with the procedure of Example I with the addition of 20 g silicon dioxide to the phase containing the mineral oil and consists of the following Ingredients:

30	Ingredient	3 W/H
	mineral oil	25.000
35	hydroxyoctacosanyl hydroxystearate (Elfacos C26)	5.000
	sorbitol solution	5.000
40	methoxy PEG-22/dodecyl glycolcopolymer (Elfacos E200)	5.000
	PEG-45/dodecyl glycol copolymer (Elfacos ST9)	3.000
45	silicon dioxide	2.000



	stearoxytrimethylsilane	1.000
5	dimethicone (50 cstk)	1.000
	Vitamin A alcohol (retinol)	0.345
	methylparaben	0.300
10	fragrance	0.250
	propylparaben	0.200
	Quaternium 15 (Dowicil 200)	0.100
	disodium edetate	0.100
15	ascorbic acid	0.100
	butylated hydroxytoluene	0.050
	50% aqueous NaOH	q.s. to pH 4.7
20	deionized water	q.s. to 100.000

The resulting water-in-oil emulsion composition is an off-white cream having a room temperature shelf life stability of the retinol of over two years.

## S EXAMPLE X

55

A water-in-oil cream composition is prepared in accordance with the procedure of Example I with 1 g hydroquinone replacing the ascorbic acid and consists of the following ingredients:

30	Ingredient	3 W/H
	mineral oil	25.000
35	hydroxyoctacosanyl hydroxystearate (Elfacos C26)	5.000
	sorbitol solution	5.000
40	methoxy PEG-22/dodecyl glycol copolymer (Elfacos E200)	5.000
	PEG-45/dodecyl glycol copolymer (Elfacos ST9)	3.000
45	stearoxytrimethylsilane	1.000



	dimethicone (50 cstk)	1.000
5	Vitamin A alcohol (retinol)	0.345
	methylparaben	0.300
	fragrance	0.250
10	propylparaben	0.200
	Quaternium 15 (Dowicil 200)	0.100
	disodium edetate	0.100
	hydroquinone	0.100
15	butylated hydroxytoluene	0.050
	50% aqueous NaOH	q.s. to pH 4.7
	deionized water	q.s. to 100.000

The resulting water-in-oil emulsion composition is an off-white cream having a room temperature shelf life stability of the retinol of about one year. The stability is affected as a result of the somewhat limited water solubility of the hydroquinone.

## 25 EXAMPLE XI

20

45

50

55

A water-in-oil cream composition is prepared in accordance with the procedure of Example I with 0.5 g propyl gallate replacing the ascorbic acid and consists of the following ingredients:

30	Ingredient	<b>3</b> H/H
	mineral oil	25.000
35	hydroxyoctacosanyl hydroxystearate (Elfacos C26)	5.000
	sorbitol solution	5.000
40	methoxy PEG-22/dodecyl glycol copolymer (Elfacos E200)	5.000
	PEG-45/dodecyl glycol	3.000
	copolymer (Elfacos ST9)	



	stearoxytrimethylsilane	1.000
5	dimethicone (50 cstk)	1.000
	Vitamin A alcohol (retinol)	0.345
	methylparaben	0.300
	fragrance	0.250
10	propylparaben	0.200
	Quaternium 15 (Dowicil 200)	0.100
	disodium edetate	0.100
15	propyl gallate	0.050
	butylated hydroxytoluene	0.050
	50% aqueous NaOH	q.s. to pH 4.7
20	distilled water	q.s. to 100.000

The resulting water-in-oil emulsion composition is an off-white, water-in-oil cream having a room temperature life stability of the retinol of about one year. The stability is affected as a result of the somewhat limited water solubility of the propyl gallate.

#### **EXEMPLE XII**

A water-in-oil cream composition is prepared in accordance with the procedure of Example I with 0.5 g nor-dihydrogularetic acid replacing the ascorbic acid and consists of the following ingredients:

	Ingredient	3 W/H
35	mineral oil	25.000
	hydroxyoctacosanyl hydroxystearate	5.000
	(Elfacos C26)	3.000
	sorbitol solution	5.000
40	methoxy PEG-22/dodecyl glycol	
	Copolymer (Elfacos E200)	5.000



5	PEG-45/dodecyl glycol copolymer (Elfacos ST9)	3.000
10	stearoxytrimethylsilane dimethicone (50 cstk) Vitamin A alcohol (retinol) methylparaben fragrance	1.000 1.000 0.345 0.300 0.250
15	<pre>propylparaben Quaternium 15 (Dowicil 200) disodium edetate nordihydroguiaretic acid</pre>	0.200 0.100 0.100
20	butylated hydroxytoluene 50%, aqueous NaOH deionized water	0.050 0.050 q.s. to pH 4.7 q.s. to 100.000

The resulting water-in-oil emulsion composition is an off-white cream having a room temperature shelf life stablility of the retinol of just over one year. The stability is affected as a result of the somewhat limited water solubility of the nordihydrogularetic acid.

## **EXAMPLE XIII**

30

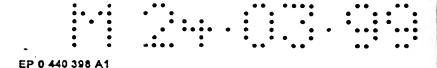
45

*50* 

65

A water-in-oil cream composition is prepared in accordance with the procedure of Example I with 0.5.g monothlogicorol replacing the ascorbic acid and consists of the following ingredients:

35	Ingredient	3 H/H
40	mineral oil hydroxyoctacosanyl hydroxystearate (Elfacos C26)	25.000 5.000
	sorbitol solution	5.000



q.s. to 100.000

methoxy PEG-22/dodecyl glycol 5.000 copolymer (Elfacos E200) PEG-45/dodecyl glycol 3.000 copolymer (Elfacos ST9) stearoxytrimethylsilane 1.000 dimethicone (50 cstk) 1.000 Vitamin A alcohol (retinol) 0.345 methylparaben 0.300 fragrance 0.250 propylparaben 0.200 Quaternium 15 (Dowicil 200) 0.100 disodium edetate 0.100 monothioglycerol 0.050 butylated hydroxytoluene 0.050 50% aqueous NaOH q.s. to pH 4.7 deionized water

The resulting water-in-oil emulsion composition is an off-white cream having a room temperature shelf life stability of the retinol of over two years.

#### **EXAMPLE XIV**

10

15

20

25

30

35

60

55

A water-in-oil cream composition is prepared in accordance with the procedure of Example I with 0.5 g sodium thiosuiphate replacing the ascorbic acid and consists of the following ingredients :

Ingredient	1 H/H
mineral oil	25.000
hydroxyoctacosanyl hydroxyst (Elfacos C26)	tearate 5.000
sorbitol solution	5.000

5	methoxy PEG-22/dodecyl glycol copolymer (Elfacos E200)	5.000
	PEG-45/dodecyl glycol copolymer (Elfacos ST9)	3.000
10	stearoxytrimethylsilane dimethicone (50 cstk)	1.000
	Vitamin A alcohol (retinol) methylparaben	0.345 0.300
15	fragrance propylparaben	0.250
20	Quaternium 15 (Dowicil 200) disodium edetate	0.100
	sodium thiosulphate butylated hydroxytoluene	0.050 0.050
25	50% aqueous NaOH deionized water	q.s. to pH 4.7 q.s. to 100.000

The resulting water-in-oil emulsion composition is an off-white cream having a room temperature shelf life stability of the retinol of over two years.

## **EXAMPLE XV**

30

35

45

*5*0

65

A water-in-oil cream composition is prepared in accordance with the procedure of Example I with 0.5 g sodium formaldehyde sulfoxylate replacing the ascorbic acid and consists of the following ingredients:

	Ingredient	3 H/H
40	mineral oil hydroxyoctacosanyl hydroxystearate (Elfacos C26)	25.000
	sorbitol solution	5.000

	methoxy PEG-22/dodecyl glycol	5.000
8	copolymer (Elfacos E200)	•
	PEG-45/dodecyl glycol	3.000
	copolymer (Elfacos ST9)	·
40	stearoxytrimethylsilane	1.000
10	dimethicone (50 cstk)	1.000
	Vitamin A alcohol (retinol)	0.345
	methylparaben	0.300
15	fragrance	0.250
	propylparaben	0.200
	Quaternium 15 (Dowicil 200)	0.100
20	disodium edetate.	0.100
	sodium formaldehyde sulfoxylate	0.050
	butylated hydroxytoluene	0.050
	50% aqueous NaOH	g.s. to pH 4.7
25	deionized water	q.s. to 100.000

The resulting water-in-oil emulsion composition is an off-white cream having a room temperature shelf life stablility of the retinol of over two years.

#### **EXAMPLE XVI**

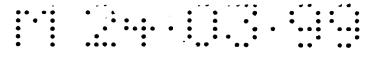
30

35

55

A water-in-oil cream composition is prepared in accordance with the procedure of Example I with 0.5 g 1,4 diazobicydo-(2,2,2)-octane replacing the ascorbic acid and consists of the following ingredients:

	Ingredient	3 W/H
40	mineral oil	25.000
	hydroxyoctacosanyl hydroxystearate (Elfacos C26)	5.000
	sorbitol solution	5.000
45		_



	methoxy PEG-22/dodecyl glycol	5.000
6	copolymer (Elfacos E200)	
	PEG-45/dodecyl glycol	3.000
	copolymer (Elfacos ST9)	
40	stearoxytrimethylsilane	1.000
10	dimethicone (50 cstk)	1.000
	Vitamin A alcohol (retinol)	0.345
	methylparaben	0.300
15	fragrance	0.250
	propylparaben	0.200
	Quaternium 15 (Dowicil 200)	0.100
20	disodium edetate	0.100
	1,4-diazobicyclo-(2,2,2)-octane	0.050
	butylated hydroxytoluene	0.050
	50% aqueous NaOH	q.s. to pH 4.7
25	deionized water	q.s. to 100.000

The resulting water-in-off emulsion composition is an off-white cream having a room temperature shelf life stability of the retinol of over two years.

## **EXAMPLE XVII**

30

35

65

A water-in-oil cream composition is prepared generally in accordance with the procedure of Example I and consists of the following ingredients:

	Ingredient	3 H/H
40	mineral oil	5.700
	isopropyl palmitate	5.000
	hydroxyoctacosanyl hydroxystearate	2.800
	(Elfacos C26)	
45	sorbitol solution	5.000

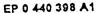
	methoxy PEG-22/dodecyl glycol	2.300
6	copolymer (Elfacos E200)	
	PEG-45/dodecyl glycol	1.400
	copolymer (Elfacos ST9)	
10	silicon dioxide	1.000
10	stearoxytrimethylsilane	0.500
	dimethicone (50 cstk)	1.000
	Vitamin A alcohol (retinol)	0.345
15	methylparaben	0.300
	fragrance	0.150
	propylparaben	0.200
20	phenoxyethanol	1.000
	disodium edetate	0.100
25	ascorbic acid	0.100
	butylated hydroxytoluene	0.050
	50% aqueous NaOH	q.s. to pH 4.7
	deionized water	q.s. to 100.000

The resulting water-in-oil emulsion composition is an oil-white cream having a room temperature shell life stablility of the retinol of over two years.

#### EXAMPLE XVIII

60

A water-in-oil lotton composition is prepared according to the following procedure. In a suitably sized glass beaker are weighed 155.4 g C12-15 alcohols benzoate, 30.8 g cetearyl isononanoate, 4.9 g Polysorbate 21, 4.9 g Vitamin E acetate, 48.75 g oleth-3 phosphate, 20 g PEG-7 hydrogenated castor oil, 25 g talc, 7.5 g stearoxytrimethylsillane, and 30 g stearic acid. The mixture is heated to 80°C. In a separate glass beaker 620 g deionized water, 0.75 g Carbomer 934, 0.5 g disodium edetate, 7 g calcium hydroxide, 10 g glycenine and 1 g ascorbic acid are dispersed together and then heated to 80°C, and added to the first mixture with thorough agitation to form a water-in-oil emulsion. The emulsion is homogenized to refine the particle size and cooled to 50°C, and 1 g fragrance and 1 g dowicil 200 added, 3.45 g of retinol are added and purified water is added to return the batch weight to 1000 g. The emulsion is cooled to below 40°C, and filled into suitable packages. The resulting composition has the following formulation:



	Ingredient	3_H/H
5		15 540
	C12-15 alcohols benzoate	15.540
	oleth-3 phosphate	4.875
	cetearyl isononanoate	3.080
10	stearic acid	3.000
	talc	2.500
	PEG-7 hydrogenated castor oil	2.000
15	glycerine	1.000
	stearoxytrimethylsilane	0.750
	calcium hydroxide	0.700
20	Polysorbate 21	0.490
20	Vitamin E acetate	0.490
	Vitamin A alcohol (retinol)	0.345
	fragrance	0.100
25	Quaternium 15 (Dowicil 200)	0.100
	ascorbic acid	0.100
	disodium edetate	0.050
30	Carbomer 934	0.075
	deionized water	q.s. to 100.000

The resulting water-in-oil emulsion composition is an off-white lotion and has good shelf life stability.

#### **EXAMPLE XIX**

35

50

A water-in-oil lotion composition is prepared according to the following procedure. In a suitably sized glass beaker are weighed 84.7 g C12-15 alcohols benzoate, 15 g cetearyl isononanoate, 2.45 g Polysorbate 21, 25 g PEG-7 hydrogenated castor oil, 1.54 g dimethicone, 10 g Ariacel 481, 10 g PVP/elcosene copolymer, 20 g silicon dioxide, and 5 g phenoxyethanol and the mixture is heated to 80°C. In a separate glass beaker 320 g delonized water, 0.25 g disodium edetate, and 0.5 g ascorbic acid are disparsed together and then heated to 80°C, and added to the first mixture with thorough agitation to form a water-in-oil emulsion. The emulsion is homogenized to refine the particle size and is cooled to 50°C, and 0.5 g fragrance added, 1.725 g of retinol are added and delonized water is added to return the batch weight to 500 g. The emulsion is cooled to below 40°C, and filled into suitable packages. The resulting composition has the following formulation:

	Ingredient	3_H/H
5	Cl2-15 alcohols benzoate	16.340
	PEG-7 hydrogenated castor oil	5.000
10	silicon dioxide	4.000
	cetearyl isononanoate	3.000
	Arlacel 481	2.000
	PVP/eicosene copolymer	2.000
15	phenoxyethanol	1.000
	Polysorbate 21	0.490
	Vitamin A alcohol (retinol)	0.345
20	dimethicone	0.308
	fragrance	0.100
25	ascorbic acid	0.100
	disodium edetate	0.050
	deionized water	q.s. to 100.000

The resulting water-in-oil emulsion composition is a smooth off-white cream and three month retinol room temperature stability of this formulation compares favorably with other compositions whose retinol shelf life stability is two years or more.

#### EXAMPLE XX

35

60

A water-in-oil cream composition is prepared according to the following procedure. In a suitable sized glass beaker 250 g mineral oil, 2 g propylparaben, 60 g Elfacos C-26, 50 g Elfacos E200, 30 g Elfacos ST-9, 10 g stearoxytrimethylsilane, 10 g dimethicone 50cstk, and 0.5 g butylated hydroxytoluene (BHT) are heated to 80°C, and mixed. In a separate glass beaker approximately 520 g purified water USP, 50 g sorbitol solution 70%, 3 g methylparaben, 1 g disodium edetate and 1 g ascorbic acid are mixed, adjusted to pH 4.7 with dilute sodium hydroxide q.s., heated to 80°C, and then added to the first mixture with agitation to form a water-in-oil emulsion. The emulsion is homogenized to further refine the particle size and cooled to about 50°C, with ordinary mixing, 1 g Dowicil 200 and 2.5 g fragrance are added and then 5.5 g of retinyl palmitate are added. Delonized water is added to return the batch weight to 1000 g and the batch is mixed until uniform and the temperature is below 40°C. The finished batch is filled into suitable containers and has the following formulation:

	Ingredient	3 <u> W/W</u>
5		25.000
	mineral oil	
	hydroxyoctacosanyl hydroxystearate	6.000
10	(Elfacos C26)	
10	sorbitol solution	5.000
	methoxy PEG-22/dodecyl glycol	5.000
	copolymer (Elfacos E200)	•
15	PEG-45/dodecyl glycol	3.000
	copolymer (Elfacos ST9)	
	stearoxytrimethylsilane	1.000
20	direthicone (50 cstk)	1.000
20	retinyl palmitate	0.550
	methylparaben	0.300
	fragrance	0.250
25	propylparaben	0.200
	Quaternium 15 (Dowicil 200)	0.100
	disodium edetate	0.100
30	ascorbic acid	0.100
	butylated hydroxytoluene	0.050
	50% aqueous NaOH	q.s. to pH 4.7
35	deionized water	q.s. to 100.000

The resulting water-in-oil emulsion composition is a smooth off-white cream and has good shelf life stability.

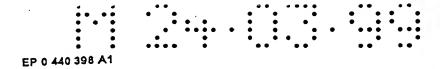
## EXAMPLE XXI

45

60

55

A water-in-oil cream composition is prepared in accordance with the procedure of Example XX and has the following ingredients:

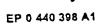


	I gredient	<b>3</b> H/H
5		25.000
	mineral oil	6.000
	hydroxyoctacosanyl hydroxystearate	
	(Elfacos C26)	
10	sorbitol solution	5.000
	methoxy PEG-22/dodecyl glycol	5.000
	copolymer (Elfacos E200)	
í5	PEG-45/dodecyl glycol	3.000
	copolymer (Elfacos ST9)	
	stearoxytrimethylsilane	1.000
	dimethicone (50 cstk)	1.000
20	retinyl acetate	0.340
	methylparaben	0.300
		0.250
25	fragrance	0.200
	propylparaben Quaternium 15 (Dowicil 200)	0.100
		0.100
	disodium edetate	0.100
30	ascorbic acid	0.050
	butylated hydroxytoluene	g.s. to pH 4.7
	50% aqueous NaOH	q.s. to 100.000
	deionized water	q.s. to 100.000
35		

The resulting water-in-oil emulsion composition is a smooth off-white cream and has good shelf life stability.

## EXAMPLE XXII

A water-in-oil cream composition is prepared in accordance with the procedure of Example XX and has the following ingredients:



_	Ingredient	3_W/	Ħ	
5		25.0	00	
	mineral oil	5.0		
	hydroxyoctacosanyl hydroxystearate	3.0	00	
10	(Elfacos C26)			
	sorbitol solution	5.0	00	•
	methoxy PEG-22/dodecyl glycol	5.0	00	
	copolymer (Elfacos E200)			
15	PEG-45/dodecyl glycol	3.0	00	
	copolymer (Elfacos ST9)			
	stearoxytrimethylsilane	1.0	00	
20	dimethicone (50 cstk)	1.0	00	
	Vitamin A acid (all-trans retinoic acid)	0.0		
	methylparaben	0.3		
	fragrance	0.2		
25	propylparaben	0.2	0.200	
	Quaternium 15 (Dowicil 200)	0.1		
	disodium edetate	0.1	100	
30	ascorbic acid	0.3	100	
	butylated hydroxytoluene	0.0	050	
	50% aqueous NaOH	q.s.	to	pH 4.7
35	deionized water	q.s.	to	100.000

The resulting water-in-oil emulsion composition is a smooth off-white cream having a room temperature shelf life stability of the Vitamin A acid of over two years.

## EXAMPLE XXIII

45

55

A water-in-oil cream composition is prepared in accordance with the procedure of Example XX and has the following ingredients :



	Ingredient	<b>3</b> ₩/₩	
5		25.000	
	mineral oil hydroxyoctacosanyl hydroxystearate	6.000	
10	(Elfacos C26)	5.000	
	sorbitol solution methoxy PEG-22/dodecyl glycol	5.000	
15	copolymer (Elfacos E200) PEG-45/dodecyl glycol	3.000	
	copolymer (Elfacos ST9) stearoxytrimethylsilane	1.000	
20	dimethicone (50 cstk) 13-cis-retinoic acid	1.000 0.010	
	methylparaben	0.300 0.250	
25	fragrance propylparaben	0.200	
	Quaternium 15 (Dowicil 200) disodium edetate	0.100 0.100	
30	ascorbic acid	0.100 0.050	
	butylated hydroxytoluene 50% aqueous NaOH	q.s. to pH 4.7	
35	deionized water	g.s. to 100.000	)

The resulting water-in-oil emulsion composition is a smooth off-white cream and has good shelf life stability.

## EXAMPLE XXIV

A water-in-oil cream composition is prepared in accordance with the procedure of Example XX and has the following ingredients:

	Ingredient	3 W/W		
5				
	mineral oil	25.0	00	
10	hydroxyoctacosanyl hydroxystearate	5.0	00	
	(Elfacos C26)			
	sorbitol solution	5.0	00	
	methoxy PEG-22/dodecyl glycol	5.0	00	
15 .	copolymer (Elfacos E200)			
	PEG-45/dodecyl glycol	3.0	00	•
	copolymer (Elfacos ST9)			
20	stearoxytrimethylsilane	1.0	000	
	dimethicone (50 cstk)	1.0	000	•
	Vitamin A acid (all-trans retinoic acid)	0.0	10	
	methylparaben	0.3	300	
25	fragrance	0.2	250	
	propylparaben	0.200		
	Quaternium 15 (Dowicil 200)	0.3	100	
<i>30</i>	disodium edetate	0.3	100	
	ascorbic acid	0.100		
	butylated hydroxytoluene	0.050		
	50% aqueous NaOH	q.s.	to	pH 4.7
	deionized water	q.s.	to	100.000
35	•			

The resulting water-in-oil emulsion composition is a smooth off-white cream and has a room temperature shelf life stability for the all-trans relinoic acid of over two years.

Various other features and embodiments of the present invention not specifically enumerated will be obvious to those skilled in the art, all of which may be achieved without departing from the spirit and the scope of the invention as defined by the following claims.

#### Claims

45

- 1. A skin care composition consisting of a water-in-oil emulsion base comprising :
  - a) an antioxidant system:
  - b) a chelating agent; and
  - c) at least one retinoid.
- 2. The skin care composition of claim 1, wherein the retinoid is Vitamin A sicohol, Vitamin A sidehyde, Vitamin A acid, a Vitamin A ester, 13-cis retinoic sold or all-bane retinoic anid.
  - 3. The skin care composition of claim 2, wherein the retinoid is Vitamin A alcohol.
  - 4. The skin care composition of claim 2, wherein the retinoid is 13-cls retinoic acid or all-trans retinoic acid.
  - 5. The skin care composition of any one of claims 1 to 4, wherein the antioxidant system comprises at least one water-soluble antioxidant and at least one oil-soluble antioxidant.

- 6. The skin care composition of claim 5, wherein the water-soluble antioxidant is ascorbic acid, sodium sulfite, sodium metablsulfite, sodium bisulfite, sodium thiosulfite, sodium formaldehyde sulfoxylate, isoascorbic acid, thioglycerol, thiosorbitol, thioures, thioglycelic acid, cysteine hydrochloride, 1,4-diazobicyclo-(2,2,2)-octane or a mixture thereof, and is preferably ascorbic acid.
- 7. The skin care composition of claim 5 or claim 6, wherein the oil-soluble antioxidant is butylated hydroxytoluene (BHT), ascorbyl palmitate, butylated hydroxytoluene, α-tocopherol, phenyl-α-naphthylamine or a mixture thereof, and is preferably butylated hydroxytoluene.

10

25

30

- 8. The skin care composition of any one of claims 1 to 4, wherein the antioxidant system contains hydro-quinone, propyl gallate, nordihydrogularetic acid or a mixture thereof.
- 75 9. The skin care composition of any one of claims 1 to 8, wherein the chelating agent is ethylenedlamine tetraacetic acid (EDTA), a derivative or salt thereof, dihydroxyethyl glycine, citric acid, tartaric acid or a mixture thereof and is preferably ethylenediamine tetraacetic acid or a derivative or salt thereof.
- 10. A method for producing a skin care composition according to any one of claims 1 to 9, which comprises:

  20 mixing together the components which will form the oil phase; mixing together the components which will form the water phase; and adding the water phase to the oil phase, wherein the retinoid is optionally added after the addition of the water phase to the oil phase.

# This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

BLACK BORDERS
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
₩ FADED TEXT OR DRAWING
BLURRED OR ILLEGIBLE TEXT OR DRAWING
☐ SKEWED/SLANTED IMAGES
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
☐ GRAY SCALE DOCUMENTS
☐ LINES OR MARKS ON ORIGINAL DOCUMENT
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
□ other:

## IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.